PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Michael W. Medin et al.

Serial No.:

Unassigned

Filed:

Unassigned

For: System and Method for Passively

Aligning and Coupling Optical Devices

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

May 9, 2001

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

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K&S Docket: 06948.105024

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EE859733056US addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on May 9, 2001.

Wigmore, Reg. No. 40,447

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

06948.105024		Not Yet Assigned	Not Yet Assigned	8 U. 85	
APPLICANT Michael W. Medin	APPLICANT Michael W. Medin et al		GROUP Not Yet Assigned	jc886	
	OTHE	R PRIOR ART (Include	ing Author, Title, Date, Pertinent I	Pages, Etc.)	
AA	Michael J. Robertson, Ian F. Lealman, and John V. Collins, <i>The Expanded Mode Laser—A Route to Low Cost Optoelectronics</i> , IEICE Trans. Electron., Vol. E80-C, No. 1, January 1997, pgs. 17-23				
AB	Yoshio Itaya et al., Spot-Size Converter Integrated Laser Diodes (SS-LDs), IEICE Trans. Electron., Vol. E80-C, No. 1, January 1997, pgs. 30-36				
AC	S.S. Saini, et al., Compact Mode Expanded Lasers Using Resonant Coupling Between a 1.55-µm InGaAsP Tapered Active Region and an Underlying Coupling Waveguide, IEEE Photonics Technology Letters, Vol. 10, No. 9, September 1993				
AD	Regis S. Fan Journal of L	and R. Brian Hooker, Taightwave Technology, V	apered Polymer Single-Mode Wav ol. 17, No. 3, March 1999, pgs. 40	veguides for Mode Transformation, 66-474.	
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EXAMINER: Initia conformance and not	l if reference consi considered. Include	idered, whether or not citati de copy of this form with ne	on is in conformance with MPEP 609 ext communication to applicant.); Draw line through citation if not in	